



FILED

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	10/764,805
Filing Date	January 26, 2004
First Named Inventor	Hironori Kakiuchi
Art Unit	2655
Examiner Name	
Attorney Docket No.	890050.457

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to TC ( <i>Appeal Notice, Brief, Reply Brief</i> )
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Declaration	<input type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ):
<input checked="" type="checkbox"/> Information Disclosure Statement and Transmittal	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	
<input checked="" type="checkbox"/> Cited References	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number	00500
Signature			
Printed Name	David V. Carlson		
Date	May 30, 2006	Reg. No.	31,153

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Typed or printed name	Jason Añover	Date: 5/30/06

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PATENT

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May 30, 2006  
Date

Jason Añover

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hironori Kakiuchi et al.  
Application No. : 10/764,805  
Filed : January 26, 2004  
For : OPTICAL RECORDING MEDIUM

Art Unit : 2655  
Docket No. : 890050.457  
Date : May 30, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Information Disclosure Statement. Copies of cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of any other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants'

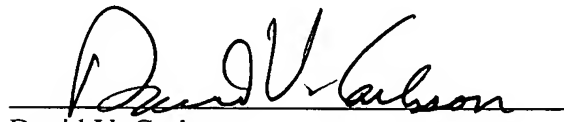
duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read "David V. Carlson", is written over a horizontal line.

David V. Carlson

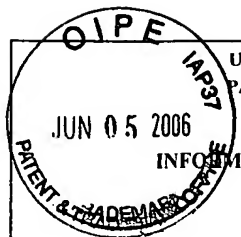
Registration No. 31,153

Enclosures:

Information Disclosure Statement  
Cited Reference (1)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
890050.457

APPLICATION NO.  
10/764,805

APPLICANTS  
Hironori Kakiuchi et al.

FILING DATE  
January 26, 2004

GROUP ART UNIT  
2655

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,194,363	03/16/93	Yoshioka et al.	430	271	
	AB	5,325,351	06/28/94	Uchiyama et al.	369	275.1	
	AC	5,414,451	05/09/95	Sugiyama et al.	347	258	
	AD	5,948,496	09/07/99	Kinoshita et al.	428	64.1	
	AE	6,210,860	04/03/01	Fukano et al.	430	270.12	
	AF	6,231,945	05/15/01	Miyamoto et al.	428	64.1	
	AG	6,266,299	07/24/01	Oshima et al.	369	13	
	AH	6,355,326	03/12/02	Lee et al.	428	64.1	
	AI	6,449,239	09/10/02	Uno et al.	369	275.1	
	AJ	6,636,477	10/21/03	Miyamoto et al.	369	286	
	AK	6,656,559	12/02/03	Mizushima et al.	428	64.1	
	AL	6,788,635	09/07/04	Aratani et al.	369	100	
	AM	6,805,935	10/19/04	Ashida et al.	428	64.1	
	AN	6,807,142	10/19/04	Nagata et al.	369	275.2	
	AO	6,841,218	01/11/05	Hosoda et al.	428	64.1	
	AP	6,982,111	01/03/06	Mizushima et al.	428	64.1	
	AQ	7,002,887	02/21/06	Kakiuchi et al.	369	59.12	
	AR	2001/0021160	09/13/01	Shuy et al.	369	100	
	AS	2001/0044073	11/22/01	Fukano et al.	430	270.12	
	AT	2002/0060979	05/23/02	Tsukuda et al.	369	275.4	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AU	62-28941	02/06/87	JP (+ Abstract in English)		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

<b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>THIRD SUPPLEMENTAL</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	ATTY. DOCKET NO. 890050.457	APPLICATION NO. 10/764,805
	APPLICANTS Hironori Kakiuchi et al.	
	FILING DATE January 26, 2004	GROUP ART UNIT 2655

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	2003/0086359	05/08/03	Lee et al.	369	275.3	
	BB	2003/0165111	09/04/03	Flynn	369	288	
	BC	2003/0224215	12/04/03	Kondo et al.	428	694	
	BD	2004/0001418	01/01/04	Shinotsuka et al.	369	111	
	BE	2004/0018334	01/29/04	Nee	428	64.1	
	BF	2004/0027973	02/12/04	Aoshima et al.	369	121	
	BG	2004/0038080	02/26/04	Inoue et al.	428	694 SC	
	BH	2004/0076907	04/22/04	Inoue et al.	430	270.12	
	BI	2004/0110086	06/10/04	Kakiuchi et al.	430	270.12	
	BJ	2004/0152016	08/05/04	Mishima et al.	430	270.12	
	BK	2004/0174804	09/09/04	Kakiuchi et al.	369	275.4	
	BL	2004/0191685	09/30/04	Kakiuchi et al.	430	270.11	
	BM	2004/0241581	12/02/04	Kakiuchi et al.	430	270.12	
	BN	2005/0018590	01/27/05	Inoue et al.	369	275.2	
	BO	2005/0018591	01/27/05	Inoue et al.	369	275.2	
	BP	2005/0018592	01/27/05	Inoue et al.	369	275.2	
	BQ	2005/0047301	03/03/05	Inoue et al.	369	94	
	BR	2005/0047302	03/03/05	Inoue et al.	369	94	
	BS	2005/0047303	03/03/05	Inoue et al.	369	94	
	BT	2005/0047304	03/03/05	Inoue et al.	369	94	
	BU	2005/0047305	03/03/05	Inoue et al.	369	94	
	BV	2005/0048249	03/03/05	Inoue et al.	428	64.4	
	BW	2005/0052194	03/10/05	Kister et al.	324	755	
	BX	2005/0074306	04/07/05	Montresor	409	179	

EXAMINER

DATE CONSIDERED

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